

# GOLD ROCK INVESTMENTS LIMITED

CIN NO.: L65990MH1978PLC020117

Regd. Off.: 507, 5th Floor, Plot No. 31, 1, Sharda Chamber, Narsi Natha Street, Bhat Bazar Masjid,  
Chinchbunder Mumbai-400009

Tel.:022-49734998 E-mail id: [goldrockinvest@yahoo.co.in](mailto:goldrockinvest@yahoo.co.in) Website: [www.goldrockinvest.in](http://www.goldrockinvest.in)

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Date: May 30, 2022

To,  
The Manager,  
Department of Corporate Services  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400001

**Security Code: 501111**

Dear Sir/Madam,

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2022 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated 8<sup>th</sup> February, 2019, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended March 31, 2022 issued by Bijal Kunaal Mehta Practicing Company Secretaries.

Please take the note of the same and acknowledge the receipt.

Thanking You,

Yours faithfully,

**For GOLD ROCK INVESTMENTS LIMITED**

KURUPPATH NARAYANA N KUTTY  
Digitally signed by  
KURUPPATH  
NARAYANAN KUTTY  
Date: 2022.05.30  
17:29:39 +05'30'



**KURUPPATH N KUTTY**  
**Managing Director**  
**Din: 00240670**

**Encl.: As Above**

# ***Bijal Kunaal Mehta***

(B.Com, LLB, ACS)

**Company Secretary**

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402, B 42, Rushi CHS, Shanti Nagar Sector 1, Mira Road East, Thane-401107

Email ID: - [bijal261985@gmail.com](mailto:bijal261985@gmail.com), [csbijal2018@gmail.com](mailto:csbijal2018@gmail.com) Mobile: - 9870868252

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## **SECRETARIAL COMPLIANCE REPORT OF GOLD ROCK INVESTMENTS LIMITED FOR THE YEAR ENDED MARCH 31, 2022**

I, Bijal Kunaal Mehta Practicing Company Secretary, have examined:

- a. all the documents and records made available to us and explanation provided by **Gold Rock Investments Limited** having Corporate Identification Number **L65990MH1978PLC020117** and whose equity shares are listed at **BSE Limited** with Script Code/Symbol of **501111** and **ZGOLDINV** respectively (“the listed entity”),
- b. the filings/ submissions made by the listed entity to the stock exchanges,
- c. website of the listed entity,
- d. any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2022 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure

Requirements) Regulations,2018;

**(Not applicable as the Company has not made any further issue of Shares)**

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations,2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations,2018;

**(Not applicable as the Company has not brought back/propose to Buy-back any of its securities during the Financial Year under review)**

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations,2014;

**(The Company has not introduced any such scheme during the financial year under review)**

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations,2008;

**(The Company has not issued any Debt Securities during the financial year under review)**

(g) Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares)Regulations,2013;

**(The Company has not issued any Non- Convertible and Redeemable Preference Shares during the financial year under review)**

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015;

and circulars/ guidelines issuedthereunder;

and based on the above examination, **I hereby report that, during the Review Period:**

<b>Sr. No.</b>	<b>Compliance Requirement (Regulations/</b>	<b>Deviations</b>	<b>Observations/Remarks of the Practicing Company Secretary</b>
1	Regulation 19 of SEBI	From April 29, 2021 to till July	On July 28, 2021 Company reconstituted

	(LODR) Regulations, 2015	27, 2021 the NRC was comprised of all directors of the Committee shall be non-executive directors and at least ½ of the Independent Director as against requirement of 2/3 of Independent Directors	NRC as per the requirement of Regulation 19 of SEBI (LODR) 2015.
2	Regulation 20 of SEBI (LODR) Regulations, 2015	April 29, 2021 to till June 29, 2021 comprised of all directors of the Committee shall be non-executive directors and at least one being an Independent Director	On June 30, 2021 Company reconstituted SRC as per the requirement of Regulation 20 of SEBI (LODR) 2015.

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

(c) There was no action taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

<b>Sr. No.</b>	<b>Observations of the Practicing Company Secretary in the previous report</b>	<b>Observations made in the secretarial compliance report for the year ended 31<sup>st</sup> March, 2021</b>	<b>Action taken by the listed entity, if any</b>	<b>Comments of the Practicing Company Secretary on the actions taken by the listed entity</b>
1.	23(9) of SEBI (LODR) Regulation, 2015 for September 2020 Non-Compliance with disclosures of related party transactions on consolidated basis	There was a 53 days delay filed in BSE.	Yes	There was a 53 days delay filed in BSE. As per email dated January 18, 2021 having subject matter of Fines as per SEBI circular no. SEBI/HO/CFD/CMD/CIR /P/2020/12 dated January 22, 2020 [for Regulation 23(9) of SEBI (LODR) Regulation, 2015 for September 2020 Non-Compliance with disclosures of related party transactions on consolidated basis and then after company pay the penalty. The company's letter / mail requesting waiver for fine imposed for late / non-compliance with the following provisions of SEBI (Listing

				<p><b>Obligations and Disclosure Requirements) Regulations, 2015 23(9) –Disclosure on Related Party Transaction Sep-2020. In this regard, company’s representation for waiver of fine was placed before the “Committee for Reviewing Representations for Waiver of Fines Levied under Standard Operating Procedure (SOP)”. Pursuant to the decision of the aforesaid Committee, that the company’s request for waiver of the fine has been approved</b></p>
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Place: Mumbai  
Date: 30/05/2022

For **Bijal Kunaal Mehta**  
Company Secretaries

*B.k. mehta*

CS Bijal Kunaal Mehta  
(Proprietor)  
Membership No 36053  
COP: 19937

UDIN: **A036053D000430623**

