

GOLD ROCK INVESTMENTS LIMITED

CIN NO.: L65990MH1978PLC020117

Regd. Off.: 507, 5th Floor, Plot No. 31, 1, Sharda Chamber, Narsi Natha Street, Bhat Bazar

Masjid, Chinchbunder Mumbai-400009

Tel.:022-49734998 E-mail id: goldrockinvest@yahoo.co.in Website: www.goldrockinvest.in

Date: June 30, 2021

To,
The Manager,
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400001

Security Code: 501111

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the year ended March 31, 2021 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated 8th February, 2019, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended March 31, 2021 issued by Bijal Kunaal Mehta Practicing Company Secretaries.

Please take the note of the same and acknowledge the receipt.

Thanking You,

Yours faithfully,

For GOLD ROCK INVESTMENTS LIMITED

KURUPPATH
NARAYANA
N KUTTY

Digitally signed by
KURUPPATH
NARAYANAN KUTTY
Date: 2021.06.30
22:04:45 +05'30'



KURUPPATH N KUTTY
Managing Director
Din: 00240670

Encl.: As Above

Bijal Kunaal Mehta

(B.Com, LLB, ACS)

Company Secretary

402, B 42, Rushi CHS, Shanti Nagar Sector 1, Mira Road East, Thane-401107

Email ID: - bijal261985@gmail.com, csbijal2018@gmail.com Mobile: - 9870868252

Secretarial compliance report of Gold Rock Investments Limited for the year ended March 31, 2021

I, Bijal Kunaal Mehta Practicing Company Secretary, have examined:

- a. all the documents and records made available to us and explanation provided by **Gold Rock Investments Limited** having Corporate Identification Number **L65990MH1978PLC020117** and whose equity shares are listed at **BSE Limited** with Script Code/Symbol of **501111** and **ZGOLDINV** respectively (“the listed entity”),
- b. the filings/ submissions made by the listed entity to the stock exchanges,
- c. website of the listed entity,
- d. any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended March 31, 2021 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
(Not applicable as the Company has not made any further issue of Shares)

- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations,2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations,2018;
(Not applicable as the Company has not brought back/propose to Buy-back any of its securities during the Financial Year under review)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations,2014;
(The Company has not introduced any such scheme during the financial year under review)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations,2008;
(The Company has not issued any Debt Securities during the financial year under review)
- (g) Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares)Regulations,2013;
(The Company has not issued any Non- Convertible and Redeemable Preference Shares during the financial year under review)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015;

and circulars/ guidelines issued thereunder;and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those

records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

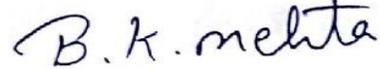
Sr No	Compliance requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
1.	Regulation 23(9) of the SEBI (Listing Obligations and Disclosures Requirements, 2015 Non-Compliance with disclosures of related party transactions on consolidated basis	The Company was filed half year ended related party transaction on consolidated basis September 2020 result dated 14 th November, 2020	There was a 53 days delay filed in BSE. As per email dated January 18, 2021 having subject matter of Fines as per SEBI circular no. SEBI/HO/CF D/CMD/CIR /P/2020/12 dated January 22, 2020 [for Regulation 23(9) of SEBI (LODR) Regulation, 2015 for September 2020

- After Company paid this non-compliance fees on BSE

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: Nil

Place: Mumbai
Date: 30/06/2021

For **Bijal Kunaal Mehta**
Company Secretaries



CS Bijal Kunaal Mehta
(Proprietor)

Membership No 36053
COP: 19937

UDIN: A036053C000557695